

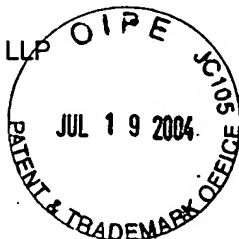


UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/764,986	01/26/2004	Jackson Streeter	ACULSR.036A

20995

KNOBBE MARTENS OLSON & BEAR LLP  
2040 MAIN STREET  
FOURTEENTH FLOOR  
IRVINE, CA 92614

CONFIRMATION NO. 6785

FORMALITIES LETTER



\*OC000000013036933\*

Date Mailed: 06/23/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/21/2004 BABRAHA1 00000065 10764986

01 FC:2001	385.00 OP
02 FC:2051	65.00 OP
03 FC:2202	99.00 OP
04 FC:2201	43.00 OP
05 FC:2203	145.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 385 to complete the basic filing fee for a small entity.*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52, 1.121(b)(3), and 1.125, is required. The specification, claims, or abstract page(s) submitted is not acceptable and cannot be scanned or properly stored because:
  - Papers must be legibly written either by a typewriter or mechanical printer in permanent ink or its equivalent in portrait orientation on flexible, strong, smooth, non-shiny, durable, and white paper. Application papers must be presented in a form having sufficient clarity and contrast between the paper and the writing thereon to permit the direct reproduction of readily legible copies in any number by use of photographic, electrostatic, photo-offset, and microfilming processes and electronic reproduction by use of digital imaging and optical character recognition. Pages All are

not in compliance with 37 CFR 1.52(a).

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
  - The drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper, which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e)). See Figure(s) 1, 2.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of **\$287** as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.


**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$737** for a Small Entity

- **\$385** Statutory basic filing fee.
- **\$65** Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$287**
  - **\$43** for 1 independent claims over 3.
  - **\$99** for 11 total claims over 20.
  - **\$145** for multiple dependent claim surcharge.

Replies should be mailed to:    Mail Stop Missing Parts  
   Commissioner for Patents  
   P.O. Box 1450  
   Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

  
\_\_\_\_\_  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



**PATENT**

Case Docket No. ACULSR.036A

Date: July 14, 2004

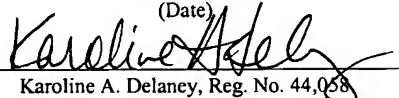
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : Jackson Streeter  
App. No. : 10/764,986  
Filed : January 26, 2004  
For : LOW LEVEL LIGHT  
THERAPY FOR THE  
ENHANCEMENT OF  
NEUROLOGIC FUNCTION  
Group Art Unit : 3762

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

July 14, 2004

(Date)

  
Karoline A. Delaney, Reg. No. 44,058

**TRANSMITTAL LETTER**

**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

ATTENTION: MAIL STOP MISSING PARTS

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(f), which was mailed by the Office on June 23, 2004, enclosed are:

- (X) An executed Declaration by Inventor.
- (X) A Power of Attorney Form and Copy of Assignment.
- (x) The present application qualifies for small entity status under 37 C.F.R. § 1.27.
- (X) A Notice to File Missing Parts.
- (X) Formal Drawing in one (1) page.
- (X) Communication Regarding Substitute Specification.
- (X) Substitute Specification in twenty one (21) pages.
- (X) Return prepaid postcard.

PATENT

Case Docket No. ACULSR.036A

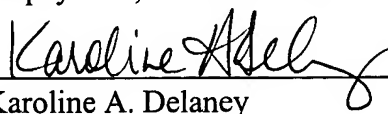
Date: July 14, 2004

(X) Fees as calculated below:

FILING FEE	\$ 385
FEE FOR 1 INDEPENDENT CLAIM OVER 3	\$ 43
FEE FOR 11 CLAIMS OVER 20	\$ 99
SURCHARGE FOR MULTIPLE DEPENDENT CLAIMS	\$ 145
LATE DECLARATION SURCHARGE 37 CFR 1.16(e)	\$ 65
TOTAL FEES SUBMITTED HEREWITH	\$ 737

(X) A check in the amount of \$737 to cover the above fees is enclosed.

(X) The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment, to Account No. 11-1410.

  
Karoline A. Delaney  
Registration No. 44,058  
Attorney of Record  
Customer No. 20,995  
(949) 760-0404

ACULSR.036A



PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : Jackson Streeter  
Appl. No. : 10/764,986  
Filed : January 26, 2004  
For : LOW LEVEL LIGHT THERAPY  
FOR THE ENHANCEMENT OF  
NEUROLOGIC FUNCTION  
Examiner : Unknown  
Group Art Unit : 3762

COMMUNICATION REGARDING SUBSTITUTE SPECIFICATION

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In response to the request to submit a substitute specification, enclosed is a substitute specification. The substitute specification reflects the changes made in the Preliminary Amendment filed March 25, 2004, but is not otherwise changed.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 14 July 2004.

By: Karoline A. Delaney  
Karoline A. Delaney  
Registration No. 44,058  
Attorney of Record  
Customer No. 20,995  
(949) 760-0404

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